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HEARING OFFICER OF THE SUPREME COURT OF ARIZON

BEFORE A HEARING OFFICE

OF THE SUPREME COURT OF ARIZONA

IN THE MATTER OF A MEMBER OF THE STATE BAR OF ARIZONA,

No. 04-1782

HEARING OFFICER'S REPORT ON REMAND

KIMBERLY L.S. PUGH,

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Respondent.

"The road to hell is paved with good intentions," remains the theme of this unfortunate saga. Previously, and recognizing the fine line Respondent walked in concluding she did not have a conflict of interest, I determined that she did not have a conflict, and recommended the claims against her be dismissed.

The Disciplinary Commission disagreed, found there was a conflict of interest, and remanded this matter for "full discussion and analysis" of the relevant aggravating and mitigating factors, and "recommendation of an appropriate sanction."

In my previous report, on page 6, I penned the following footnote:

If I were to find a violation of ER 1.9, I would need to deal with aggravating and mitigating factors. The State Bar concedes (in fact, stipulated) there are no aggravating factors, and that the mitigating factors are absence of a disciplinary record, absence of a dishonest or selfish motive, cooperative attitude toward these proceedings (Respondent's response to the State Bar in December of 2004 was 6 single spaced pages, with 184 pages of exhibits!), and inexperience in the practice of law. Indeed, during the hearing, I inquired whether these mitigating factors (which I find to be mitigating) could reduce an informal reprimand down to a dismissal. Based on my conclusion, however, I do not need to reach this question.

It appears five Commission members wanted more than this.

¹ Three of the eight Commission members hearing the matter, while concurring in the majorities analysis and decision, did not think an aggravation/mitigating hearing was required. Instead, they would have imposed an informal reprimand based on the existing record.

After remand, I held a conference call with the lawyers for the State Bar and Respondent. They agreed that, were we to have a hearing, I would find no aggravating factors and the mitigating factors stated in the footnote. Therefore, it was agreed I could proceed based on the existing record, and the parties have filed briefs to help me decide. I have also re-read the hearing transcript and my report, including this footnote on page 5:

Watching Respondent during her testimony, it was apparent to me how sincerely she believed there was no conflict of interest, that she was doing the right thing to protect the child, and that she took these bar proceedings seriously. She was clenching and unclenching her hands, and I thought she would destroy the handkerchief she was holding.

My question then, and now, is whether it is appropriate in this case to reduce the informal reprimand to something less. (Clearly, the mitigating factors reduce censure to an informal reprimand). In Respondent's Memorandum RE Disclosure, Respondent has cited numerous cases from other jurisdictions that I can. The State Bar disagrees – and distinguishes the one Arizona case they found in footnote 2 on page 2 of its Response Memorandum Regarding Sanctions.

I remain convinced that the public does not need protecting – and no helpful message can be sent to others – in any formal discipline. Therefore, I recommend that Respondent be diverted, required to take an ethics course, and, for example, write an article for the Arizona Lawyer on the lessons she has learned in this matter.

DATED this 22rd day of December, 2006.

Richard N. Goldsmith Hearing Officer

1	filed this day of December, 2006, with
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3	Discipline Clerk of the Supreme Court of Arizona
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